Electron-phonon coupling to breathing phonons in cuprates Oliver Rösch and Olle Gunnarsson Max-Planck-Institut für Festkörperforschung, D-70569 Stuttgart



für Festkörperforschung

Kink in electron dispersion



DFT: Electron-phonon coupling and dispersion kinks • X = 0.15 $La_{2-x}Sr_xCuO_4$ Giustino, Cohen Louie Nature 452, 975 0°0°0°0°0°0°0°0°0°0000 o theory X = 0.30

Isotope shift of kink



-200 -175 -150 -125 -100 -75 -50 -25 0 1 0 Energy (meV) Momentum

Small DFT kink mainly due to breathing phonons.

But, DFT kink almost an order of magnitude smaller than experiment.

Electron-phonon interaction not responsible for kink? Zone-boundary half-breathing phonon: Heid, Bohnen, Zeyher, Manske, PRL 100, 137001. $\lambda \sim 0.01.$ Bohnen, Heid, Krauss, Europhys. Lett 64, 104.



Substantial coupling to phonon with energy ~ 70 meV.

Isotope effect also seen in penetration depth and STM (shift 3.7 meV).

Half-breathing phonon. Neutron scattering



and Devereaux, Nature **455**, E6. Reznik et al., J. Low Temp. Phys. 147, 353. LDA widhts: Bohnen (YBa₂Cu₃O₇) Giustino, Cohen Louie, Nature 452, 975

Very strong anomaly at $\mathbf{q} \approx (0.3, 0, 0)$ in both dispersion and width. Reduced with temperature \Rightarrow Not anharmonic effects or impuritites. LDA usually describes phonons very well, but here strongly underestimates width. Anomalously strong coupling.

Electron-phonon coupl. Undoped La_2CuO_4 . Shell model Find the electron-phonon coupling strength to a Zhang-Rice singlet. 1. Use shell model to describe electrostatic coupling to phonons. Phonon eigenvectors \Rightarrow Potential on a singlet due to a phonon. $\gamma(\omega) \equiv \sum_{\mathbf{q}\nu} \frac{|g_{\mathbf{q}\nu}|^2}{(\omega_{\mathbf{q}\nu}N)} \delta(\omega - \omega_{\mathbf{q}\nu}).$ Screening only by the "shells". LDA too effective screening. 2. Add coupling due to modulation of t_{pd} and $\varepsilon_d - \varepsilon_p$ (breathing phonons). Coupling to (half-)breathing modes (80 meV), O_z modes (60-70 meV) and La (Cu) modes (20 meV). Total $\lambda \sim 1.2$. Breathing $\lambda \sim 0.4$. 20 40 60 Sufficient to explain experimentally observed polaronic effects for undoped cuprates. Rösch, Gunnarsson, Zhou, Yoshida, Sasagawa, Fujimori, Hussain, Shen, Uchida, PRL 95, 227002.

Three-band (Emery) model

Important physics in CuO_2 plane. Include one Cu x^2 - y^2 -3d and two O 2p orbital/cell. $H = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{j\sigma} \varepsilon_j^p n_{j\sigma}^p + U \sum_i n_{i\uparrow}^d n_{i\downarrow}^d$ $-\sum_{\langle i,j\rangle\sigma} t^{pd}_{ij}(d^{\dagger}_{i\sigma}p_{j\sigma}+H.c.)$



t-J model

Undoped system: One hole per Cu site. AF coupling. Doped system: Additional holes mainly on O sites. Form Zhang-Rice singlets from Cu and O holes. $H_{eff} = J \sum_{\langle i,j \rangle} \left(\vec{S}_i \cdot \vec{S}_j - \frac{1}{4} n_i n_j \right) + \sum_{i \neq j,\sigma} t_{ij} \tilde{c}_{i\sigma}^{\dagger} \tilde{c}_{j\sigma}$

Origin of strong electron-phonon coupling

Zhang-Rice singlet: Nominally d^1p^1 . Coherent hopping Cu x^2 - $y^2 \leftrightarrow$ O $2p \Rightarrow$ $\frac{d^0 p^2}{d}$ $E^{Z-R} \approx -4t_{pd}^2 \left(\frac{2-1}{\varepsilon_p} + \frac{2}{U-\varepsilon_p}\right)$ Large singlet energy ($\sim 5~{\rm eV}$). Due to singlet character, coupling to two config. $\frac{1}{\sqrt{2}}(|p\uparrow\rangle|d\downarrow\rangle-|p\downarrow\rangle|d\uparrow\rangle)$ For rigid lattice uninteresting constant. Phonons modulate singlet energy via t_{pd} . Strong coupling because Zhang-Rice singlet energy large. Rösch and Gunnarsson, PRL 92, 146403.



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Phonon softening in HF solution (linear response)

Softening given by Re $\Pi_{\mathbf{q}}(\omega) \approx g_{\mathbf{q}}^2 \times \frac{1}{\pi} \int d\omega' \frac{\operatorname{Im} \chi_{\mathbf{q}}(\omega')}{\omega - \omega'}$ $\Pi_{\bf q}(\omega)\approx g_{\bf q}^2\chi_{\bf q}(\omega)$: phonon self-energy $\chi_{\mathbf{q}}(\omega)$: charge correlation function



 \Rightarrow Softening for pprox 10-15% doping is of right size but due to different reasons than in t - J model





Line width in HF solution	

HF softening OK for $\delta \sim 0.10-0.15$, but width much smaller than exp. Real and imaginary part of self-energy related. Contradiction?





Summary

t-J calculation for 4×4 cluster (details of Im Π not reliable).

• Linewidth (0.4 meV) smaller than in t-J model (3 meV) (many low-lying excitations in t-J model).

• Full-breathing mode softens more than half-breathing mode, contrary to exp. O. Rösch and O. Gunnarsson, PRB 70, 224518.

• LDA suggests very weak coupling to half-breathing phonon. • Many-body treatment (t-J model) suggests much stronger coupling. • Experimental evidence for substantial coupling. • LDA fails to describe dispersion of half-breathing phonon. • t-J model gives proper dispersion and much larger width.